

FINANCIAL DATA QUESTIONNAIRE

GENERAL INFORMATION

	Client	Spouse
Name		
Date of Birth		
Age at Retirement		
Desired Retirement Income*	\$	\$
Pension Annual Benefit	\$	\$
Age Pension Begins		
Pension COLA?	Y / N	Y / N

*We generally calculate this based on lifestyle. If you wish, enter a specific amount in today's dollars.

Does your employer provide retiree health benefits? Yes / No / Limited (explain):

CHILDREN

Name	DOB	Current Grade	Desired college name/ type	Current value of edu. fund	Current Annual Savings
				\$	\$
				\$	\$
				\$	\$
				\$	\$
				\$	\$

ASSETS

Please indicate value and if client, spouse or joint ownership

	Client	Spouse	Joint
Savings/Money Market	\$	\$	\$
Home	\$	\$	\$
Second Home	\$	\$	\$
Investment Real Estate	\$	\$	\$
Other	\$	\$	\$

INCOME AND EXPENSES

	Client	Spouse
Gross Wages		
Self Employment Income		
Rental/Business Income		
Social Security Income		
401(k)/IRA Annual Contrib		
Roth Annual Contribution		
Annual Gift Received		
Annual Gifts Made		
Other Long-Term Savings		

LIABILITIES

	Balance	Payment	Years Left	Interest Rate
Mortgage	\$	\$		%
Second Home	\$	\$		%
Home Equity Line	\$	\$		%
Margin Balance	\$	\$		%
401(k) Loan	\$	\$		%
Credit Card debt	\$	\$		%
Other debt	\$	\$		%

LIFE INSURANCE AND ESTATE

	Type*	Owner	Insured	Annual Premium	Death Benefit	Cash Value	Beneficiary
Policy 1				\$	\$	\$	
Policy 2				\$	\$	\$	
Policy 3				\$	\$	\$	
Policy 4				\$	\$	\$	

*Term/Whole Life/Variable/Universal

LIFE INSURANCE AND ESTATE (continued)

Do you have a will and/or trust set up? _____ If yes, please provide dates and trust type:

Do you have parents who may need your financial support? _____ If yes, please detail below:

Do you anticipate receiving an inheritance? _____ If yes, please estimate the amount and year:

A 60-year-old male can expect to live to age 80 today, while a female can expect to live to 83. Based on your health and family history do you anticipate your life expectancy to be:

	Much lower	Somewhat lower	Average	Somewhat higher	Much Higher
Client					
Spouse					

Do you expect to fund education costs for your grandchildren or make other definite charitable gifts? _____ Please explain:

Please attach the following documents:

- Your most recent tax return (1040, Schedules A-E)
- Account statements
- Social Security Statements
- Insurance policies or declarations pages
- 401(k) or work plan investment options

Who should be our primary contact during business hours? _____